



# Institute Of Internal Auditors Detroit Chapter

## Monthly Reporter

Central Region, District 2

November, 2007

**2007-2008**

### **DETROIT CHAPTER**

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### **Chapter Meeting – November 13, 2007**

**Vis Ta Tech, Livonia, Michigan**

*Program Hosts* – General Motors Corporation and KPMG LLP

**Deadline for Reservations – November 8 - Noon**

#### **PLEASE NOTE**

**This meeting is at Vis Ta Tech in Livonia Michigan. For map and directions, please see Page 10.**

4:00 – 4:30 Networking and registration (including open bar)

4:30 – 5:30 Pre-Dinner Speaker

5:30 – 6:30 Networking/Dinner (open bar)

6:30 – 7:30 After-Dinner Speaker

**In order to better serve our membership, we will be closely adhering to the above schedule. Please leave yourself sufficient time to register prior to the pre-dinner presentation.**

*Pre-Dinner Topic:* Quality Assessment - Best Practices and Common Findings  
1 CPE Credit

Having an external quality assessment (QA) performed not only satisfies a requirement of the *International Standards for the Professional Practice of Internal Auditing* (the *Standards*) but also makes good business sense.

Mr. Thomas Johnson will briefly review the requirement and benefits of an external quality assessment and discuss attributes shown by world-class internal audit departments. Discussion will continue on quality and quality assessment including keys to a successful QA and common findings that he has observed over the years. Lastly, he will suggest some “leading practices” for your consideration.

*Pre-Dinner Speaker:* Thomas A. Johnson, CIA, IIA International

Thomas A. Johnson is the Director of Quality Assessment for CBIZ, a leading provider of business services including accounting and tax, benefits and insurance and a wide range of consulting services. In 2001, Tom retired from CIT, one of the nation’s premier commercial and consumer finance companies. At the time of his retirement, he



## REGISTRATION FEES AND POLICIES

### Registration

(By Noon – November 8, 2007)

Member	\$40.00
Non-Member	\$45.00
Retiree	\$20.00
Student	\$20.00

**Payment may be made by cash, check or credit card (Visa, Mastercard or American Express).**

### NOTE:

#### **Attention: Online Reservations Available**

Reservations may be made online at our website address of <http://www.theiia.org/chapters/detroit> or by emailing Suzanne McCormick at [jmccor65@aol.com](mailto:jmccor65@aol.com). If you cannot get on line or send E-mail, you may fax your reservation (call ahead to ensure fax line is activated) to Suzanne McCormick at (248) 471-3075 by NOON November 8, 2007. Include your name, company name, membership status, and certifications. Persons registering online or via E-mail will receive a personal (not automated) reservation confirmation. Advance reservations are gladly accepted for meetings through May 2008. Please indicate month(s) you will be attending in your E-mail or fax.

*The Detroit Chapter IIA Board has a reservation/cancellation policy. Our chapter gets charged for all meals once a reservation is made to the meeting facility. To alleviate losses, the following policy is in effect: Cancellations will be accepted (via E-mail) without a charge through November 8. After Thursday, either send a substitute or no-shows will be charged and billed.*

### NOTE FROM YOUR EDITOR

Keep your membership records 'up-to-date' by going to <http://www.theiia.org/iaa/profile/index.cfm>. Using the real-time "Update Profile" feature, IIA members can make changes online and see the results on-screen immediately after submission. This is the best way for you to ensure The IIA and your local chapter have the most accurate information.

### WEBSITE ADDRESS FOR DETROIT CHAPTER

If you or your friends did not receive the newsletter, you may now view its contents on the web.

The address is  
<http://www.theiia.org/chapters/index.cfm?cid=2>

## From our President

The October meeting was one of two designated Certification Recognition nights for the year and we welcomed 34 new CIAs. Rick Bennett did his usual great job of introducing each honoree and Dan Saint of Jefferson Wells greeted each with their Certificate.

There were 136 attendees at the meeting held on October 11, 2007 at the Vis Ta Tech Center. Prior to helping Rick recognize our CIAs, Dan Saint spoke on Shareholder Value Creation, Sustainability and Organizational Ethics. It was quite an interested topic contrasting corporate goals of simply increasing shareholder wealth with those including social responsibility and environment sustainability. The after-dinner speaker was Steven Guarini of The Rehmann Group. Steve examined the economic and ethical considerations of evaluating the cost/benefit relationship of Sarbanes-Oxley compliance.

The IIA's fall membership drive, the 2007 Refer-A-Friend campaign, continues. The rewards for signing up new members are pretty enticing, so look for information and forms on the IIA website at [www.theiia.org/URIT](http://www.theiia.org/URIT). For every colleague or friend you refer who becomes a member, you will receive a \$5 gas card and an entry in a drawing for a Vespa® scooter or a year's worth of gas (up to \$3,500). **Tag, You're It.**

In preparation for the final paper and pencil CIA exam on November 14 and 15, Dr. Bob Okopyn offered a CIA Exam Review Course covering Parts I, II and III on October 12, 13 and 19, respectively. Over forty people took one or more of these classes.

Coming up in November, Tom Johnson from IIA International will join us to speak on "Quality Assessment – Best Practices and Common Findings". After dinner, Patricia Maslov of KPMG will talk about "Staff Developing – Partnering with Management to Enhance Your Career". Don't miss learning about these timely topics – join your fellow Detroit Chapter members on November 13, 2007 at the Vis Ta Tech Center in Livonia.

See you there!

Matt Burgess, CIA, CPA  
President, Detroit Chapter IIA



Calendar of Events

Date	Agenda	Location
November 8, 2007	Reservations due by noon for the November 13 IIA dinner meeting	
November 13, 2007	Deadline for information for the December IIA Monthly Reporter	
November 13, 2007	<b>Chapter Meeting</b> “Quality Assessment – Best Practices and Common Findings” Thomas A. Johnson, CIA, IIA International	Vis Ta Tech
	“Staff Developing – Partnering with Management to Enhance Your Career” Patricia Maslov, Director, KPMG LLP Advisory Services	
November 14-15, 2007	IIA Exams	
December 6, 2007	Reservations due by noon for the December IIA dinner meeting	
December 11, 2007	Deadline for information for the January IIA Monthly Reporter	
December 11, 2007	<b>Chapter Meeting</b> <i>Joint Meeting with ISACA</i> “E-Discovery” The Rehmann Group “End User Computing”	Vis Ta Tech
January 3, 2008	Reservations due by noon for the January 8 IIA dinner meeting	
January 8, 2008	<b>Chapter Meeting</b> “ID Theft and Computer Intrusion Crimes” Richard Rytman, Veritas “ID and Access Management” Stuart McCubbrey (General Motors) and Sajay Rai (Ernst & Young)	Vis Ta Tech
January 15, 2008	Deadline for information for the February IIA dinner meeting	
February 7, 2008	Reservations due by noon for the February 12 IIA dinner meeting	
February 12, 2008	Deadline for information for the March IIA Monthly Reporter	
February 12, 2008	<b>Chapter Meeting</b>	Vis Ta Tech
March 2008	<b>NO REGULAR CHAPTER MEETING IN MARCH</b>	
March 10 – 12, 2008	<b>IIA/ISACA JOINT SPRING SEMINAR</b>	
March 11, 2008	Deadline for information for the April IIA Monthly Reporter	
April 3, 2008	Reservations due by noon for the April IIA dinner meeting	
April 8, 2008	<b>Chapter Meeting</b> <i>Student Night</i> <i>Student Scholarships</i> <i>Election of Officers and Board for the 2008 – 2009 Chapter Year</i>	Vis Ta Tech
April 15, 2008	Deadline for information for the May IIA Monthly Reporter	
May 8, 2008	Reservations due by noon for the May IIA dinner meeting	
May 13, 2008	<b>Chapter Meeting</b> <i>IIA Certification Recognition</i> Awards Night	Ford Conference & Event Center

Detroit Chapter New Members

Cindy Clark  
Joseph Dombles  
Daniel Domke  
Donna Frye  
David A Lane  
Danielle Lowery

Anthony Maher  
Jacquelyn Mondani  
Joyce Moore  
Mary B. Myers  
Kristin Pawlowski  
Steven K Pew

Omar Robleh  
Stefanie Sanchez  
Bill Weiler  
Cecilia Yau  
Bryan Zak



November Speaker and Topic Information Continued from Page 1

was an Executive Vice President in the Credit Risk Management Group. Previously, he was the General Auditor and a member of the Senior Management Committee. He began his career at Manufacturers Hanover holding various positions in the Internal Auditing Department, culminating in being named Deputy General Auditor in 1984

Mr. Johnson is a member of the Institute of Internal Auditors Committee on Quality. In 1991, he was the General Chairman of the 50<sup>th</sup> International Conference and from 1993-95, he was the Chairman of the International Conference Committee. From 1996-98, Tom was the Vice-Chairman-Professional Development, one of the five top positions in the Institute. He is a past president and governor of the New York Chapter. Tom has lectured at various seminars and conferences, published articles and was a contributing editor for *Internal Auditor*, a Warren Gorham and Lamont publication.

Mr. Johnson was the 2001 recipient of the IIA's highest award, the Bradford Cadmus Award, for his significant contributions to the field of internal auditing. The New York Chapter has recognized Mr. Johnson by renaming the chapter's "Lifetime Achievement" award in his honor.

Mr. Johnson received a Bachelor of Business Administration degree from Manhattan College. He has been active in civic groups and previously was a college basketball referee. In his spare time, he enjoys playing golf.

*After-Dinner Topic:* Staff Developing – Partnering with Management to Enhance Your Career  
1 CPE Credit

The focus of our discussion will be primarily on innovative Internal Audit department staff development opportunities and how internal audit staff members can take full advantage of the opportunities.

*After-Dinner Speaker:* Patricia C. Maslov, Director Advisory Services, KPMG LLP

Tricia is a Director in KPMG's Advisory Services Practice. In her role as National Director of Advisory Client Learning, she provides learning and development guidance to organizations in a variety of industries including consumer and industrial markets and high-tech. Tricia has extensive experience in assisting clients in analyzing business needs and identifying and developing performance improvement solutions to support the achievement of business goals.

Tricia has over 20 years of learning development and performance consulting experience and holds a Certified Performance Technologist designation from the International Society for Performance Improvement (ISPI). In addition to her association with ISPI, Tricia is an active member of the Institute of Internal Auditors (IIA) and is a frequent guest speaker at IIA Detroit Chapter meetings

## Unemployed?

If you are unemployed, arrangements can be made for you to attend meetings for up to 3 months at no cost to you. After the 3 months, you may request an extension. Please contact one of the following members to assist you:

Tanya Cooper-Crockett  
[Tanya.cooper@jeffersonwells.com](mailto:Tanya.cooper@jeffersonwells.com)  
Phone: 248-226-1241

Cornel Ivascu  
[Cornel.ivascu@jeffersonwells.com](mailto:Cornel.ivascu@jeffersonwells.com)  
Phone: 248-226-1213

All requests will be kept confidential.





## **IIA Detroit Chapter Announces**

### ***Member Appreciation Training Event***

(Open to Members only)

**Topic: SAS 70 Type II Reports – Use and Interpretation for SOX**  
**Monday, November 19, 2007**  
**Vista Tech Center, Livonia, MI**  
**(Map and Directions to Vis Ta Tech on Page 10 of This Newsletter)**

**Session 1: 8 am to 12 pm**  
**Session 2: 1pm to 5 pm**  
**(Each Session Limited to 30 Participants)**

**Join us and earn 4 CPEs**  
**Cost: \$20 per person**

#### **Registration**

**Deadline for Registration – November 12, 2007**

**Contact Suzanne McCormick**

**[Jsmccor65@aol.com](mailto:Jsmccor65@aol.com)**

**Please Give Session You Wish To Attend**

**Payment Deadline – November 14**

**Checks should be payable to Detroit Chapter IIA and mailed to:**

**Suzanne McCormick**

**30032 Fink Ave.**

**Farmington Hills, MI 48336**

**Credit Card Payments – Call Suzanne at 248-471-3075**





## NOVEMBER 2007 CIA Exam Information

GOOD LUCK TO ALL OF THOSE TAKING THE NOVEMBER 14 AND 15, 2007 EXAMS

The exams start promptly at 8:30 a.m. and 1:30 p.m.. Plan to arrive at the site at least 15 minutes early. The sign-in for each session generally begins about 20 minutes prior to the start of the exam. You must bring your exam admission card along with a photo ID.

If you do arrive late, you can still sit for the examination. However, you will not be provided additional time.

There is no lunch facility at the exam site. There are several restaurants near the Greenfield/Rotunda intersection.

You will not be provided with a calculator. Basic "six function" calculators are allowed. Programmable calculators are not acceptable.

You may not bring any scrap paper to the exam.

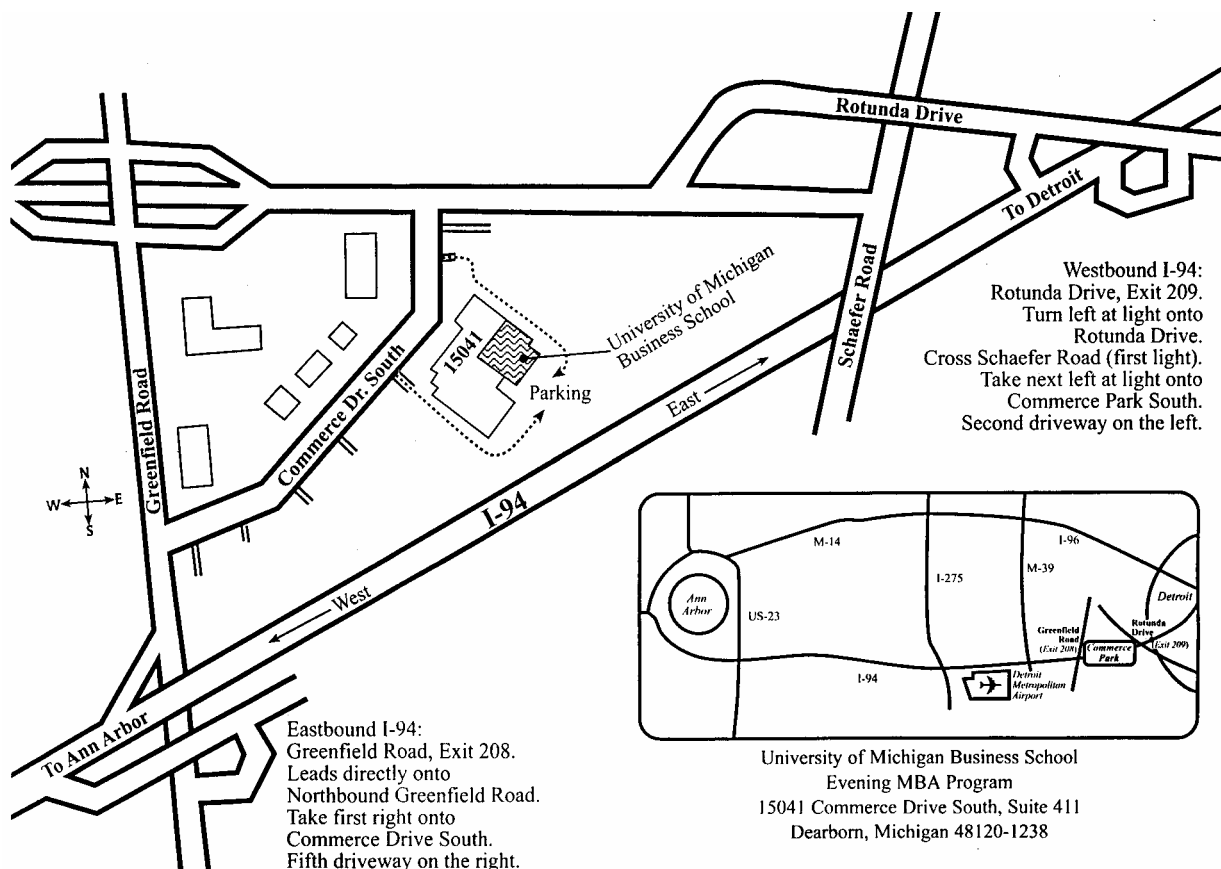
Please bring an adequate supply of pencils.

The results generally are available 45 to 60 days after the exam is completed.

If you have any other questions, please contact Rick Bennett at [rbennett@ach-llc.com](mailto:rbennett@ach-llc.com) or (313) 337-1983.

The examination is held at the U of M Evening MBA Program site. The site is located in a business park south of Rotunda approximately one block east of Greenfield. The address is 15041 South Commerce Drive. Parking is in the back of the building. It is not at the University of Michigan Dearborn campus.

Candidates need to use the entrance in the rear of the building.







The people at the left with Rick Bennett, the IIA Certification Chair, were honored at the October 9, 2007 IIA dinner meeting for receiving their CIA Certification.

Shown here is the Detroit Chapter IIA President, Matt Burgess, with the October 9 after-dinner speaker, Steven Guarini.



Shown at the left is the Detroit Chapter IIA President, Matt Burgess, with the October 9 IIA pre-dinner speaker, Daniel Saint.

**MARK YOUR CALENDARS  
COMING MARCH 10 – 12, 2008  
IIA/ISACA JOINT SPRING SEMINAR**

## Career Opportunities



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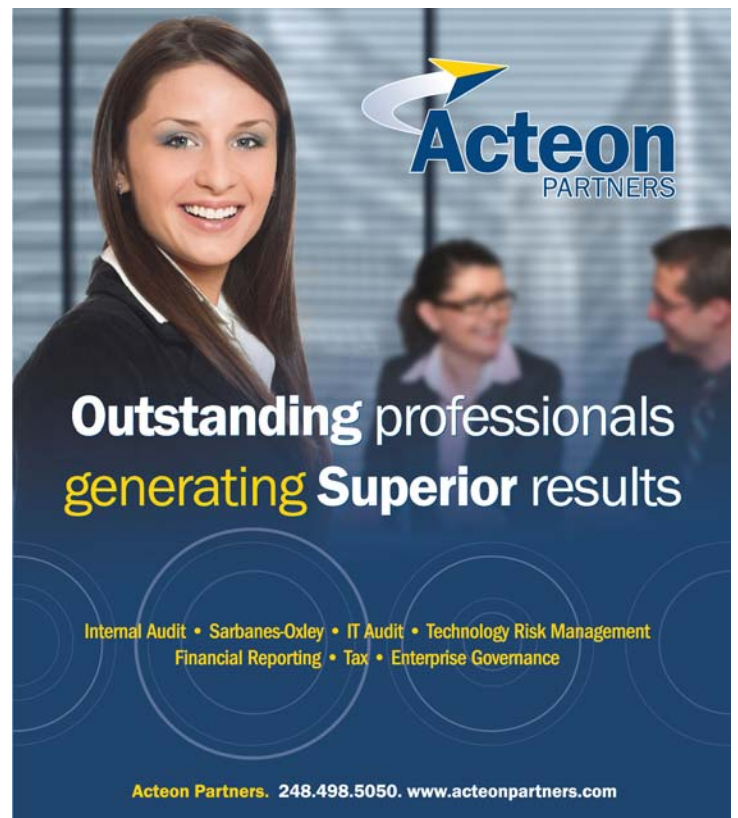
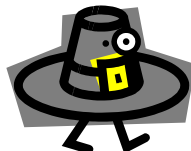
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## Map and Directions to Vis Ta Tech November 13, 2007 IIA Dinner Meeting

VIS TA TECH CENTER at SCHOOLCRAFT COLLEGE  
18600 Haggerty Road  
Livonia, Michigan 48152-2696  
734-462-4595  
www.schoolcraft.edu



### DIRECTIONS:

I-275 to Exit 169 (7 Mile Road); turn West toward Northville. Turn South on Haggerty Road and proceed to facility.

## Pre – Pay for Meetings with Your Credit Card

Again this year, you may attend meetings by pre-paying with your credit card. The chapter takes American Express, visa and mastercard. You may call Suzanne a few days before the meeting and give her your credit card information. When you arrive at the meeting, you do not have to stand in line to check in but simply pick up your badge and receipts. The phone number to call is 248-471-3075.



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# November Meeting Administrative Detail

November 13, 2007

Vis Ta Tech in Livonia, Michigan

Starting Time - 4:30 P.M.

“Quality Assessment – Best Practice and Common Findings”  
“Staff Developing – Partnering with Management to Enhance Your Career”

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WALDORF SALAD  
TOMATO, BASIL AND MOZZARELLA SALAD  
SPINACH SALAD  
with Bacon, Red Onion and Eggs  
STEWED BEEF TIPS  
with Caramelized Onions in a Burgundy Jus Lie  
VEGETARIAN LASAGNA  
HONEY GLAZED CARROTS  
VEGETARIAN FRIED RICE  
CHEESECAKE  
with Fruit Garnish  
COFFEE SERVICE  
VEGETARIAN PLATE AVAILABLE BY  
PRE-REGISTRATION ONLY  
OPEN BAR  
Beer and Wine Only



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