



# Institute Of Internal Auditors Detroit Chapter

## Monthly Reporter

Central Region, District 2

December, 2007

**2007-2008**

### **DETROIT CHAPTER**

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### **Chapter Meeting – December 11, 2007**

**Vis Ta Tech, Livonia, Michigan**

*Program Hosts* – The Rehmann Group

**Deadline for Reservations – December 6 - Noon**

#### **PLEASE NOTE**

**This meeting is at Vis Ta Tech in Livonia Michigan. For map and directions, please see Page 10.**

4:00 – 4:30 Networking and registration (including open bar)

4:30 – 5:30 Pre-Dinner Speaker

5:30 – 6:30 Networking/Dinner (open bar)

6:30 – 7:30 After-Dinner Speaker

**In order to better serve our membership, we will be closely adhering to the above schedule. Please leave yourself sufficient time to register prior to the pre-dinner presentation.**

*Pre-Dinner Topic:* Electronically Stored Information Risk Assessment Is Critical In Light Of New E-Discovery And Database Notification Laws  
1 CPE Credit

With over 90% of business communications being conducted by way of e-mail and other electronic means, it should be of no surprise that Electronically Stored Information (ESI) is frequently subpoenaed in litigation. Producing e-mail, text messages, databases, and instant messages in a timely manner can be much more difficult than paper documents. In December 2006, the Federal Rules of Civil Procedure were amended to specifically address ESI. Several states have adopted court rules addressing ESI and most other states are now in the process of amending court rules. In 2007, Michigan adopted a database breach notification law (MCL 445.72) and is currently in the process of amending the Michigan Court Rules to address ESI.

If you haven't received a notification requesting production of ESI, odds are that you will sometime in the near future. Even if your organization is not involved directly in a lawsuit, your organization could have to produce ESI records for another lawsuit as a third party. Could you produce the instant messages or e-mails between two employees from one year ago? How long is your organization retaining e-mail? How much time and money will it

December Topic and Speaker Information Continued on Page 4



## REGISTRATION FEES AND POLICIES

### Registration

(By Noon – December 6, 2007)

Member	\$40.00
Non-Member	\$45.00
Retiree	\$20.00
Student	\$20.00

**Payment may be made by cash, check or credit card (Visa, Mastercard or American Express).**

### NOTE:

#### Attention: Online Reservations Available

Reservations may be made online at our website address of <http://www.theiia.org/chapters/detroit> or by emailing Suzanne McCormick at [jmccor65@aol.com](mailto:jmccor65@aol.com). If you cannot get on line or send E-mail, you may fax your reservation (call ahead to ensure fax line is activated) to Suzanne McCormick at (248) 471-3075 by NOON December 6, 2007. Include your name, company name, membership status, and certifications. Persons registering online or via E-mail will receive a personal (not automated) reservation confirmation. Advance reservations are gladly accepted for meetings through May 2008. Please indicate month(s) you will be attending in your E-mail or fax.

*The Detroit Chapter IIA Board has a reservation/cancellation policy. Our chapter gets charged for all meals once a reservation is made to the meeting facility. To alleviate losses, the following policy is in effect: Cancellations will be accepted (via E-mail) without a charge through December 6. After Thursday, either send a substitute or no-shows will be charged and billed.*

### NOTE FROM YOUR EDITOR

Keep your membership records 'up-to-date' by going to <http://www.theiia.org/iaa/profile/index.cfm>. Using the real-time "Update Profile" feature, IIA members can make changes online and see the results on-screen immediately after submission. This is the best way for you to ensure The IIA and your local chapter have the most accurate information.

### WEBSITE ADDRESS FOR DETROIT CHAPTER

If you or your friends did not receive the newsletter, you may now view its contents on the web.

The address is

<http://www.theiia.org/chapters/index.cfm?cid=2>

## From our President

There were 107 attendees at the meeting held on November 13, 2007 at the Vis Ta Tech Center. Tom Johnson spoke on the requirements and benefits of the IIA Quality Assessment Review process including best practices noted in years of performing QARs. Patricia Maslov spoke on innovative Internal Audit department staff development opportunities and how internal audit staff members can take full advantage of those opportunities.

Refer-A-Friend, the IIA's 2007 fall membership drive has ended. I hope that you referred all of your internal audit colleagues and friends and I really hope someone from the Detroit Chapter won the Vespa® scooter!

As I write this column, the second Member Appreciation Event, a half-day session on the use of SAS 70 Type II reports is underway at the Vis Ta Tech Center. Both the morning and afternoon sessions were fully subscribed. These training offerings have been very well received and you can expect to see more of them offered in 2008.

In a return sponsorship engagement for The Rehmann Group, the pre-dinner session at our December meeting will feature Scott Bailey and Chris Sabota. Scott and Chris are members of the firm's Enterprise Risk Management team and will speak to us about E-Discovery. After dinner, Raj Patel and Joseph Oleksak, both of Plante & Moran will talk about the changes in the role of end users in security as information technology has evolved. These are great learning opportunities on topics that affect us all. I hope to see you December 11, 2007 at the Vis Ta Tech Center in Livonia.

I hope your Thanksgiving was meaningful and wish you and yours all good things during this holiday season!

Matt Burgess, CIA, CPA  
President, Detroit Chapter IIA





Calendar of Events

Date	Agenda	Location
December 6, 2007	Reservations due by noon for the December IIA dinner meeting	
December 11, 2007	Deadline for information for the January IIA Monthly Reporter	
December 11, 2007	<b>Chapter Meeting</b> <i>Joint Meeting with ISACA</i> “Electronically Stored Information Risk Assessment Is Critical In Light Of New E-Discovery And Database Breach Notification Laws” Christopher Sobota, JD, MSIS, Information Technology Consultant, The Rehmann Group Scott A. Bailey, CISM, Managing Principle, Enterprise Risk Management, The Rehmann Group “End User Controls” Raj J. Patel, CISA, CISM, Partner, Plante & Moran’s Technology Consulting and Solutions Practice Joseph E. Oleksak, CISSP, Manager, Plante & Moran’s Technology Consulting and Solutions Group	Vis Ta Tech
January 3, 2008	Reservations due by noon for the January 8 IIA dinner meeting	
January 8, 2008	<b>Chapter Meeting</b> “ID Theft and Computer Intrusion Crimes” Richard Rytman, Veritas “ID and Access Management” Stuart McCubbrey (General Motors) and Sajay Rai (Ernst & Young)	Vis Ta Tech
January 15, 2008	Deadline for information for the February IIA dinner meeting	
February 7, 2008	Reservations due by noon for the February 12 IIA dinner meeting	
February 12, 2008	Deadline for information for the March IIA Monthly Reporter	
February 12, 2008	<b>Chapter Meeting</b>	Vis Ta Tech
March 2008	<b>NO REGULAR CHAPTER MEETING IN MARCH</b>	
March 10 – 12, 2008	<b>IIA/ISACA JOINT SPRING SEMINAR</b>	
March 11, 2008	Deadline for information for the April IIA Monthly Reporter	
April 3, 2008	Reservations due by noon for the April IIA dinner meeting	
April 8, 2008	<b>Chapter Meeting</b> <i>Student Night</i> <i>Student Scholarships</i> <i>Election of Officers and Board for the 2008 – 2009 Chapter Year</i>	Vis Ta Tech
April 15, 2008	Deadline for information for the May IIA Monthly Reporter	
May 8, 2008	Reservations due by noon for the May IIA dinner meeting	
May 13, 2008	<b>Chapter Meeting</b> <i>IIA Certification Recognition</i> <i>Awards Night</i>	Ford Conference & Event Center



IIA Changes Exam Process

Beginning in 2008, the CIA exam and all of the specialty exams are migrating to Computer Based Testing (CBT). Gone will be the days of only being able to sit for the exam twice a year on set dates at set locations. Candidates will be able to schedule the exam when and where it is most convenient for them. If you want to find out more about CBT, visit the IIA’s website at [www.theiia.org](http://www.theiia.org)



December Speaker and Topic Information Continued from Page 1

cost your IT department to pull backup tapes and restore records? Most organizations are not prepared to respond quickly to this type of ESI discovery request.

*Pre-Dinner Speakers:* Christopher Sobota, JD, MISIS, Information Technology Consultant, The Rehmann Group  
Scott A. Bailey, CISM, Managing Principal, Enterprise Risk Management, The Rehmann Group

Christopher is an Information Technology Consultant in Enterprise Risk Management for The Rehmann Group. He is located in the Troy office.

Prior to joining the firm in 2007, Christopher worked as an attorney focusing on general civil and criminal legal matters and as a consultant focusing on information technology. Christopher has extensive courtroom experience and an in-depth understanding of the complex interactions between law and information technology. Christopher advises clients in the areas of information technology and electronic commerce, with a focus on potential legal liability for high technology ventures. Christopher also consults on issues such as regulatory compliance, IT audit and IT security.

Chris is a graduate of Michigan State University with a Bachelor of Business degree. In addition, he holds a Masters of Science in Business Information Technology with an Information Assurance Specialization from Walsh College. At Walsh College he joined Delta Mu Delta, an honor society requiring a class ranking in the top 20% or above. Christopher also earned his Juris Doctor from the University of Detroit Mercy School of Law in the top quarter of his class.

He belongs to ISACA and the State Bar of Michigan.

Scott is Managing Principal of Enterprise Risk Management for The Rehmann Group, overseeing Internal Audit, IT Audit, SOX Consulting, Internal Control Consulting, Technology Risk Management and Security, Business Risk Consulting, e-Discovery and Digital Forensics.

Scott has over 24 years of experience in risk management, IT audit, information security, and forensic fields. He is nationally recognized for his expertise in information security and has consulted for Fortune 500 manufacturing companies and major financial institutions on internal controls, IT security, data encryption, strategic planning and policy development. He has assisted companies regarding compliance with Sarbanes-Oxley and Gramm-Leach-Bliley Acts and has conducted national seminars. Scott has also assisted companies with information technology strategic planning, stepping in as a temporary CIO when needed. In addition, Scott is a subject matter expert in digital forensics and e-Discovery, and has worked with corporations, law firms and law enforcement agencies on a variety of cases.

Previously, Scott worked as Director of Technology Risk Management for a global professional services firm, Data Processing Manager for a large financial institution and Software Architect for one of the Big Three automakers, where he developed enterprise software. Subsequent to this, as president of a private technology and software company, he provided expertise to the FBI, the U.S. Secret Service, law enforcement agencies, and public and private sector clients.

Scott attended Oakland University in Rochester, Michigan, with a concentration on Computer Information Science and is a Certified Information Security Manager.

Scott belongs to the Institute of Internal Auditors and ISACA.

*After-Dinner Topic:* End User Controls  
1 CPE Credit

Often times, the weakest link in security (i.e. end user) is ignored. End users touch all three aspects of an information security program (policies, procedures, and mechanisms.) Additionally, the role of the end user has been greatly impacted by the evolution of technology (from the early introduction of computing to the Internet age.) This presentation discusses the role of end users in information security and its evolution. It will also touch on the end user effect from the next generation of technology, virtual worlds.

After-Dinner Speaker Information Continued on Page 5

After-Dinner Speaker Information Continued from Page 4

*After-Dinner Speakers:* Raj J. Patel, CISA, CISM, Partner with Plante & Moran's Technology Consulting and Solutions Practice  
Joseph E. Oleksak, CISSP, Manager, Plante & Moran's Technology Consulting & Solutions Group

Raj J. Patel, a Partner with Plante & Moran's Technology Consulting and Solutions practice, has over 15 years of information technology security, control and audit experience in financial institutions, insurance, state & local government, and automotive industries. Mr. Patel leads the Security Assurance and Consulting practice providing clients with IT internal audit, IT risk assessments, network security assessments / penetration studies, web application security assessments, Sarbanes-Oxley compliance, GLBA/HIPAA compliance, systems integration controls reviews, business continuity management, and eBusiness security.

Mr. Patel has also presented on security related topics in various white papers, newsletters, magazines and at various conferences. In March 2007, Mr. Patel was awarded the "American Dreamer" award by Crain's Detroit Business Magazine in recognition of his leadership in the business community in Michigan.

Joe Oleksak, a Manager with Plante & Moran's Technology Consulting & Solutions Group, has over ten years of experience with IT security and audit. During his career, Mr. Oleksak has managed Information Technology (IT) security and audit work across several industries. Mr. Oleksak has extensive experience in business process and application security & control assessments, Network & Web Application Security Assessments, developing security policies / procedures / guidelines, and in performing regulatory security threat and risk assessments.

## Detroit Chapter New Members

Joseph J Andraska  
Malik D. Anthony  
Bryan Bannick  
Robert Bennett  
Michael F. Bradford  
Kelly Carlisle  
Lisbeth Evelyn Chavarria  
Sharmel Cochran  
Zsuzsanna Deak  
Younna Elayache  
Vanessa Frankowski  
Sonya Golden  
Matthew Hammond  
Ian Hawkins  
Muhamad Haydar

Orlandez Huddleston  
Amanda Hunt  
Nickolas Jackson  
Amanda Janisch  
Steve Janulis  
Tamera Lynn Johnson  
Daniell Jones  
Matthew R. Koshorek  
Patricia Kushman  
Jonathan Latella  
Derek Martin  
Joseph McDonald  
Joseph Meier  
Megan Ann Naugle  
Angela Newman  
Sacha Platt

Yana Prokofyeva  
Reshma Sambare  
Christopher L. Schmitt  
Andrija Selak  
Emel Sener  
Rachael Smith  
Chris Steeb  
Cheritta Shawnte' Stewart  
Debra Tatchin  
Kristin Ann Tenbusch  
Mark A. Tremel  
Matthew Urban  
Jessica Vamvas  
Jingjing Zhou  
Peihua Zhou



Shown at left is Pam Bishop, Detroit Chapter IIA Facility and Program Chairperson, with the November Pre-Dinner Speaker, Thomas A. Johnson, from IIA International.



**REGISTRATION COMING SOON**  
**JOINT IIA – ISACA SPRING CONFERENCE**

**9<sup>TH</sup> ANNUAL SPRING CONFERENCE**  
**MARCH 10 - 12, 2008**

**UNIVERSITY OF MICHIGAN – FAIRLANE CENTER NORTH**

**GREAT TOPICS TO CHOOSE FROM:**

**TRACK I**

INVESTIGATIVE SKILLS FOR AUDITORS  
DATA PRIVACY: PROTECTING YOUR ORGANIZATION, CUSTOMERS AND EMPLOYEES

**TRACK II**

HIDDEN SECRETS FROM IS AUDITORS  
PROJECT MANAGEMENT FOR AUDITORS

**TRACK III**

CONFLICT RESOLUTION

**TRACK IV**

USING RISK ASSESSMENT TO BUILD INDIVIDUAL AUDIT PROGRAMS

**TRACK V**

DATA MINING FOR AUDITORS

**TRACK VI**

FRAUD AUDITING BOOT CAMP

**TRACK VII**

AUDITING OUTSOURCED OPERATIONS

**TRACK VIII**

SECURING AND AUDITING YOUR WEB-ENABLED APPLICATIONS

**TRACK IX**

IT GOVERNANCE AND REGULATORY COMPLIANCE  
INVESTIGATIVE INTERVIEWING SKILLS FOR AUDITORS

**TRACK X**

COMMUNICATION, INFLUENCING AND CHANGE IMPLEMENTATION SKILLS FOR AUDITORS



## Career Opportunities

INTERNAL  
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IT AUDIT

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COMPLIANCE

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ASSESSMENT

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IMPROVEMENT  
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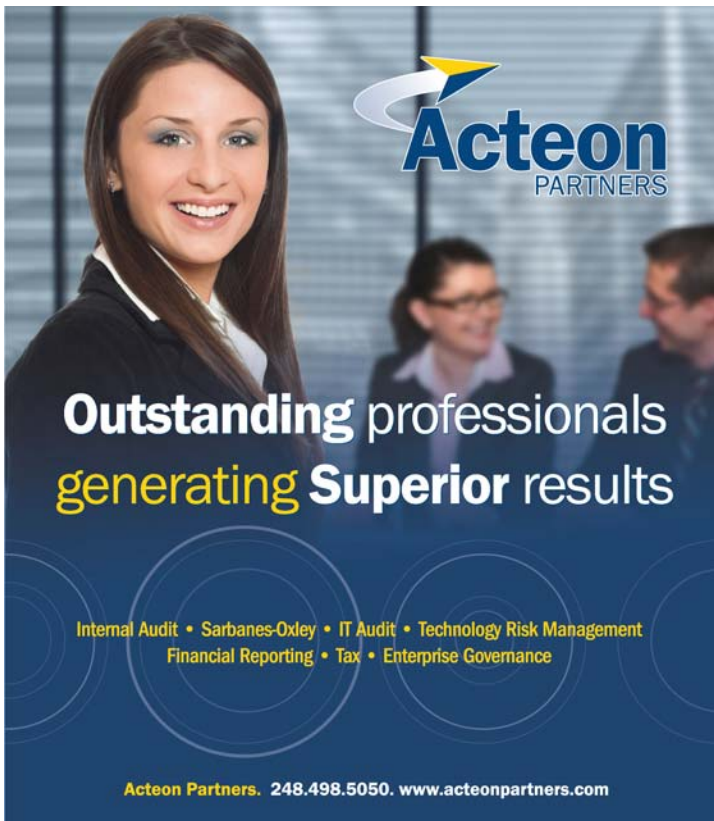
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## Career Opportunities



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### ANNUAL IIA/ISACA SPRING SEMINAR

**MARCH 10 – 12, 2008**

**MARK YOUR CALENDARS**

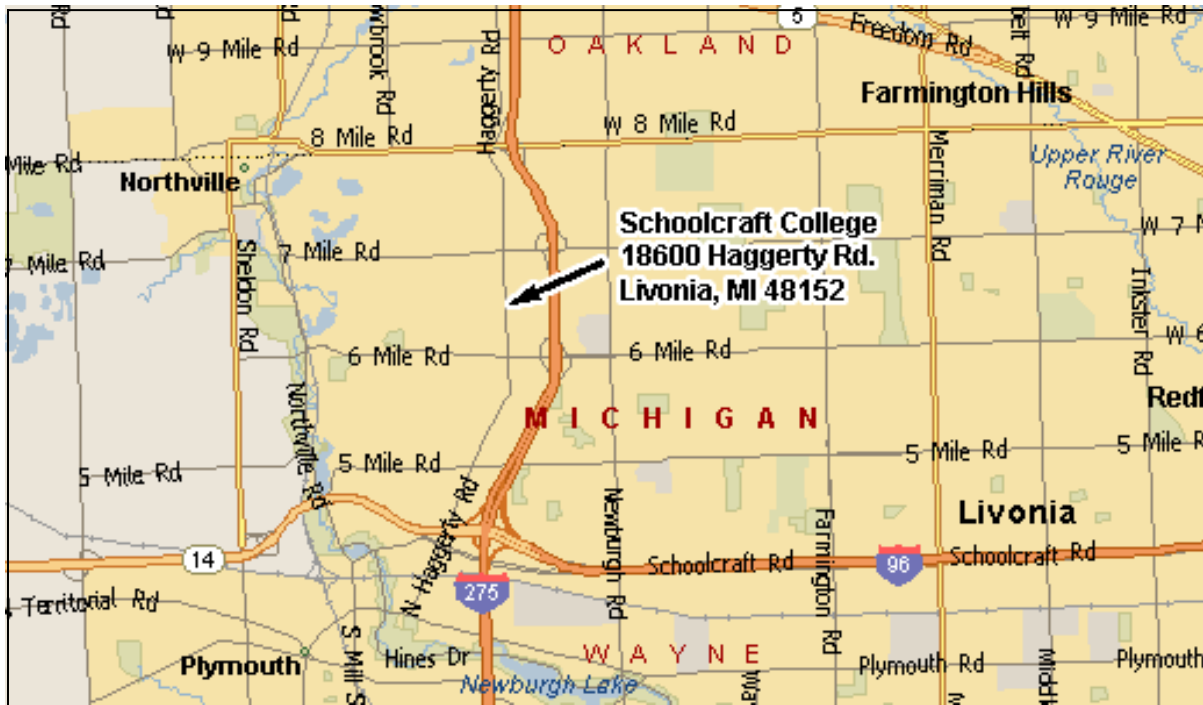
**SEE PAGE 6  
FOR  
CLASSES BEING OFFERED**

**REGISTRATION COMING SOON**



## Map and Directions to Vis Ta Tech

18600 Haggerty Road  
Livonia, Michigan 48152-2696  
734-462-4610  
www.schoolcraft.edu



### DIRECTIONS:

#### FROM LANSING OR GRAND RAPIDS (TRAVELING ON I-96 EAST)

Take I-96 E to I-275 S, exit at Seven Mile Road (exit #169). Turn right onto Seven Mile Road. Turn left onto Haggerty Road (1<sup>st</sup> traffic light). Turn left into the north parking lot of Schoolcraft College.

#### FROM THE SOUTH (TRAVELING ON I-275 NORTH)

Take I-275 N – exit at Seven Mile Road (exit #169A). Turn right onto Seven Mile Road. Turn left onto Haggerty Road (1<sup>st</sup> traffic light). Turn left into the north parking lot of Schoolcraft College.

#### FROM THE WEST (I.E. KALAMAZOO, JACKSON, BATTLECREEK) (TRAVELING ON I-94 EAST)

Take I-94 E to M-14 E to I-275 N, exit at Six Mile Road. Turn left onto Six Mile Road. Turn right onto Haggerty (at the 2<sup>nd</sup> light). Turn right into the north parking lot of Schoolcraft College.

#### FROM ANN ARBOR (TRAVELING ON M-14 EAST)

Take M-14 E to I-275 N-exit at Six Mile Road. Turn left onto Six Mile Road. Turn right onto Haggerty Road (at 2<sup>nd</sup> light). Turn right into the north parking lot of Schoolcraft College.

#### FROM DETROIT (TRAVELING ON I-96 WEST)

Take I-96 W to I-275 N-exit at Six Mile Road. Turn left onto Six Mile Road. Turn right onto Haggerty (at the 2<sup>nd</sup> light). Turn right into the north parking lot of Schoolcraft College.

#### FROM DETROIT METROPOLITAN AIRPORT

Take I-94 W to I-275 N – exit at Six Mile Road. Turn left onto Six Mile Road. Turn right onto Haggerty (at the 2<sup>nd</sup> light). Turn right into the north parking lot of Schoolcraft College.

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# December Meeting Administrative Detail

December 11, 2007

Vis Ta Tech in Livonia, Michigan

Starting Time - 4:30 P.M.

“Electronically Stored Information Risk Assessment Is Critical In Light Of New E-Discovery  
And Database Breach Notification Laws”

“End User Controls”

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VEGETABLE TRAY  
with Herbed Dipping Sauce  
CAESAR SALAD  
HEARTS of PALM, CUCUMBER and MINT SALAD  
ROAST SIRLOIN, Au Jus  
GRILLED PORTOBELLO RAVIOLI  
with Chardonnay Butter Sauce  
SAUTEED MUSHROOMS  
with Garlic Butter  
PARMESAN MASHED POTATOES  
CHOCOLATE ECLAIR  
COFFEE SERVICE  
VEGETARIAN PLATE AVAILABLE BY  
PRE-REGISTRATION ONLY  
OPEN BAR  
Beer and Wine Only



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Internal Auditors  
Detroit Chapter

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